

THE UNITED PROVINCES SUGAR COMPANY LTD
CI-35 report: (I) (a) Statement showing Shareholding Pattern

Company Series : UP

ISIN : INE509E01014 Capital : 2550000 Class of Security : Equity

Scrip Code : --- Scrip Symbol : ---

Quarter ended: 30-Sept-2011

Partly paid-up shares:-		No. of partly paid-up shares	As a % of total no. of partly paid-up shares		As a % of total no. of shares of the company			
Held by Promoter / Promoters Group		0	0.00		0.00			
Held by public		0	0.00		0.00			
Total								
Outstanding convertible securities:-		No. of outstanding securities	As a % of total No. of outstanding convertible securities		As a % of total no. of shares of the company, assuming full conversion of the convertible securities			
Held by Promoter / Promoters Group		0	0.00		0.00			
Held by public		0	0.00		0.00			
Total								
Warrants:-		No. of warrants	As a % of total no. of warrants		As a % of total no. of shares of the company, assuming full conversion of warrants			
Held by Promoter / Promoters Group		0	0.00		0.00			
Held by public		0	0.00		0.00			
Total		0	0.00		0.00			
Total paid-up capital of the company assuming full conversion of warrants and convertible securities		0	0.00		0.00			
Category code (I)	Category of Shareholder (II)	Number of shareholders (III)	Total number of shares (IV)	Number of shares held in dematerialised form (V)	Total Shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B) (VI)	As a percentage of (A+B+C) (VII)	Number of shares (VIII)	As a Percentage (IX) = (VIII) / (TV)*100
(A)	Shareholding of Promoter and Promoter Group							
(1)	Indian							
(a)	Individuals / Hindu Undivided Family	14	22,69,566	12,07,278	89.00	89.00	7,64,999	33.71
(b)	Central Government / State Governments(s)	0	0	0	0.00	0.00	0	0.00
(c)	Bodies Corporate	3	1,50,000	0	5.88	5.88	0	0.00
(d)	Financial Institutions / Banks				0.00	0.00	0	0.00
(e)	Any other (specify)							
	Sub-Total (A) (1)	17	24,19,566	12,07,278	94.88	94.88	7,64,999	31.62
(2)	Foreign				0.00	0.00		
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)	0	0	0	0.00	0.00	0	0.00
(b)	Bodies Corporate	0	0	0	0.00	0.00	0	0.00
(c)	Institutions	0	0	0	0.00	0.00	0	0.00
(d)	Any Other (specify)						0	0.00
	Sub-Total (A) (2)	0	0	0	0.00	0.00	0	
	Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)	17	24,19,566	12,07,278	94.88	94.88	7,64,999	31.62
(B)	Public Shareholding						NA	NA
(1)	Institutions						NA	NA
(a)	Mutual Funds / UTI	0	0	0	0.00	0.00		
(b)	Financial Institutions / Banks	8	5,798	550	0.23	0.23		
(c)	Central Government / State Governments(s)	0	0	0	0.00	0.00		
(d)	Venture Capital Funds	0	0	0	0.00	0.00		
(e)	Insurance Companies	2	2,000	1,000	0.08	0.08		
(f)	Foreign Institutional Investors	0	0	0	0.00	0.00		
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00		
(h)	Any Other (Specify)							
	Sub-Total (B) (1)	10	7,798	1,550	0.31	0.31		
(2)	Non-Institutions						NA	NA
(a)	Bodies Corporate	8	5,208	3,600	0.20	0.20		
(b)	Individuals							
(i)	Individual Shareholders holding nominal Share Capital upto Rs.1 Lakh	231	1,17,428	20,726	4.61	4.61		
(ii)	Individual Shareholders holding nominal Share Capital in excess of Rs.1 Lakh	0	0	0	0.00	0.00		
(c)	Any Other (Specify)							
	Sub-total (B) (2)	239	1,22,636	24,326	4.81	4.81		
	Total Public Shareholding (B) = (B)(1)+(B)(2)	249	1,30,434	25,876	5.12	5.12	NA	NA
	TOTAL (A)+(B)	266	25,50,000	12,33,154	100.00	100.00		
(C)	Shares held by Custodians against which DRs are issued (GDR)						NA	NA
(1)	Promoter and Promoter Group	0	0	0	0.00	0.00		
(2)	Public	0	0	0	0.00	0.00		
	GRAND TOTAL (A)+(B)+(C)	266	25,50,000	12,33,154	0.00	100.00		

(I) (b) Statement showing Shareholding of persons belonging to the category "Promoter and Promoter Group"

Name of the Company : THE UNITED PROVINCES SUGAR COMPANY LIMITED
Scrip Code : Quarter ended : 30th Sept ,2011

Sr. No. (I)	Name of the Shareholder (II)	Total Shares Held		Share pledge or otherwise encumbered		
		Number of Shares (III)	As a % of grand total (A)+(B)+(C) (IV)	Number of Shares (V)	As a Percentage (VI)=(V)/(III)*100	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a) (VII)
1	ARVIND K KANORIA	1528508	59.94	382499	25.02	15.00
2	ANURAG KANORIA	300000	11.76	191250	63.75	7.50
3	ARUNA KANORIA	300000	11.76	191250	63.75	7.50
4	KAABIL TRADERS PVT LTD	150000	5.88	0	0.00	0.00
5	SHIKHA KANORIA	87598	3.44	0	0.00	0.00
6	VINEETA KANORIA	41460	1.63	0	0.00	0.00
7	MASTER PARITOSH KANORIA	12000	0.47	0	0.00	0.00
TOTAL		2419566	94.88	764999	31.62	30.00

(I) (c) Statement showing Shareholding of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No	Name of the shareholder	Number of Shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in statement at para (I)(a) above}
-----N.A.-----			
TOTAL		0	0.00

(I) (d) Statement showing details of locked-in-shares

Sr. No	Name of the shareholder	Category of Shareholders (Promoters /	Number of Locked-in Shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in
1	-----N.A.-----			
2	-----N.A.-----			
TOTAL				

(II) (a) Statement showing details of Depository Receipts (DRs)

Sr. No	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of Shares underlying outstanding	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in statement at para (I)(a) above}
1	-----N.A.-----			
2	-----N.A.-----			
TOTAL				

(II) (b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by 'Promoter / Promoter Group' are in excess of 1% of the total number of shares

Sr. No	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of Shares underlying outstanding	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in statement at para (I)(a) above}
1	-----N.A.-----			
2	-----N.A.-----			
TOTAL				

Name of the Company : THE UNITED PROVINCES SUGAR COMPANY LIMITED	
Scrip Code :	Quarter ended : 30th Sept,2011

Statement showing the voting pattern of shareholders, if more than one class of shares / securities is issued by the

(III) (a) issuer.

Category code (I)	Category of Shareholder (II)	Number of Voting Rights held in each class of securities			Total Voting Rights (III+IV+V)	Total Voting Rights i.e. (VI)	
		Class X (III)	Class Y (IV)	Class Z (V)		As a percentage of (A+B)	As a percentage of (A+B+C) (VII)
(A)	Shareholding of Promoter and Promoter Group						
(1)	Indian						
(a)	Individuals / Hindu Undivided Family						
(b)	Central Government / State Governments(s)						
(c)	Bodies Corporate						
(d)	Financial Institutions / Banks						
(e)	Any Other (Trust)						
	Sub-Total (A) (1)	0	0	0	0.00	0.00	0.00
(2)	Foreign						
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)						
(b)	Bodies Corporate						
(c)	Institutions						
(d)	Any Other (specify)						
	Sub-Total (A) (2)	0	0	0	0.00	0.00	0.00
	Total Shareholding of Promoter and Promoter	0	0	0	0.00	0.00	0.00
(B)	Public Shareholding						
(1)	Institutions						
(a)	Mutual Funds / UTI						
(b)	Financial Institutions / Banks						
(c)	Central Government / State Governments(s)						
(d)	Venture Capital Funds						
(e)	Insurance Companies						
(f)	Foreign Institutional Investors						
(g)	Foreign Venture Capital Investors						
(h)	Any Other (specify)						
	Sub-Total (B) (1)	0	0	0	0.00	0.00	0.00
(2)	Non-Institutions						
(a)	Bodies Corporate						
(b)	Individuals -						
i	Individual shareholders holding nominal share capital upto Rs. 1 lakh						
ii	Individual shareholders holding nominal share capital in excess of Rs. 1 lakh						
(c)	Any Other (specify)						
i	Trusts						
ii	Foreign Corporate Bodies						
	Sub-total (B) (2)	0	0	0	0.00	0.00	0.00
	Total Public Shareholding (B) = (B)(1)+(B)(2)	0	0	0	0.00	0.00	0.00
	TOTAL (A)+(B)	0	0	0	0.00	0.00	0.00
(C)	Shares held by Custodians and against which Depository Receipts have been issued						
	GRAND TOTAL (A)+(B)+(C)	0	0	0	0.00	0.00	0.00

Note

Only one class of Securities is issued by the Company, hence column (III) (a) is not applicable